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2854  
PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF:

JONATHAN V. CASPAR ET. AL.

CASE NO.: CL1974USPCT

APPLICATION NO.: 10/511,854

CONFIRMATION NO.: 6351

GROUP ART UNIT: 2854

EXAMINER: NGUYEN, ANTHONY H.

FILED: OCTOBER 14, 2004

FOR: PLANARIZING ELEMENTS FOR THERMAL PRINTING OF COLOR  
FILTER

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated September 5, 2006 and in compliance with 37 C.F.R. §§1.97 and 1.98, Applicants bring to the attention of the U.S. Patent and Trademark Office the information listed on the enclosed PTO/SB/08A and/or PTO/SB/08B forms. A copy of the information, if required, is also enclosed. Consideration of the information is requested under 37 C.F.R. § 1.56 and this information is submitted in accord with the provisions of §1.97(c): after the period specified in §1.97(b) but before the mailing of a Final Office Action, or a Notice of Allowance, or any other action that closes prosecution.

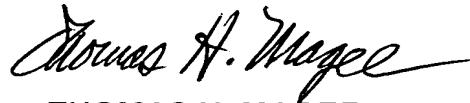
The PTO is authorized to charge the fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. **04-1928** (E. I. du Pont de Nemours and Company) in

09/19/2006 HWOLDGE1 00000032 041928 10511854

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order to complete the requirements for consideration of this Information Disclosure Statement.

Respectfully submitted,

A handwritten signature in black ink, reading "Thomas H. Magee". The signature is fluid and cursive, with a long horizontal stroke extending to the right.

**THOMAS H. MAGEE**

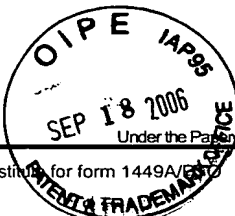
Attorney for Applicants

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Dated: September 13, 2006



Substitute for form 1449A/USPTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

### Complete if Known

Application Number	10/511,854
Filing Date	January 21, 2003
First Named Inventor	JONATHAN V. CASPAR
Group Art Unit	2854
Examiner Name	NGUYEN, ANTHONY H
Attorney Docket Number	CL1974USPCT

### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup>	(if known)			
		US -	3,684,771	08-15-1972	BRAUN.	
		US -	3,788,996	01-29-1974	THOMPSON	
		US -	4,032,698	06-28-1977	ASHE	
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		US -				

### FOREIGN PATENT DOCUMENTS

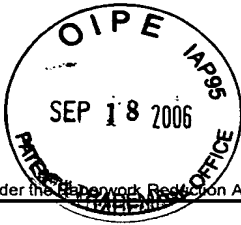
Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
			GB 2,083,726	03-24-1982	MINNESOTA MINING AND MANUFACTURING CO.		<input type="checkbox"/>
			GB 1,339,930 A	12-05-1973	DUPONT		<input type="checkbox"/>
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Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PTO/SB/92 (09-04)  
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10/511854

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